

⑥

Quasi-linear Theory

for 1D Plasma

- simplest mean-field theory

- fluctuation-driven diffusion
of mean $\langle f \rangle$

- describe response of distribution
to fluctuations

v.e. Fluctuation-driven flux

- application \rightarrow turbulent resistivity..

e.x. Sagdeev & Galeev; "Nonlinear
Plasma Theory"

also Moffett; "Mean Field Electrodynamics"

Quasilinear Theory - Vlasov Plasma

i) Motivation and Overview

D → linear theory determines 'instantaneous stability' of plasma

$$\text{d.e. } \epsilon(k, \omega) = 1 + \frac{\omega_p^2}{k} \int dv \frac{\partial \langle f \rangle / \partial v}{\omega - kv} = 0$$

⇒ growth/damping rate $\gamma_k = \gamma_k[\langle f \rangle]$

but $\langle f \rangle$ evolves... If $\langle f \rangle$ evolves slowly:

$$\text{'slowly'} \Rightarrow \frac{1}{\langle f \rangle} \frac{\partial \langle f \rangle}{\partial t} < \gamma_k$$

can consider: $\gamma_k = \gamma_k[\langle f(t^0) \rangle] \rightarrow \left. \begin{array}{l} \text{evolution driven} \\ \text{by instabilities} \end{array} \right\}$
 physics: mean distribution evolution ...
 ⇒ driven by relaxation.

⇒ quasilinear theory is concerned with describing and understanding the slow evolution of $\langle f \rangle$...

③ quasilinear theory is "mindless mean field theory", i.e.

$$\langle f \rangle = \langle f(v, t) \rangle \quad \text{where } \rightarrow \langle \rangle \text{ eliminates spatial dependence}$$

→ t understood "slow"

∞ f :

$$\left[\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} + \frac{q}{m} E \frac{\partial f}{\partial v} = 0 \right]$$

then Q.L. equation is simply: (upon avg.)

$$\frac{\partial \langle f \rangle}{\partial t} + \frac{\partial}{\partial v} \left\langle \frac{q}{m} \tilde{E} f \right\rangle = 0$$

i.e. generic mean field equation (for $\langle f \rangle$) for mean of conserved order parameter

$$\frac{\partial \langle f \rangle}{\partial t} + \frac{\partial}{\partial v} \bar{J}_v = 0 \quad \rightarrow \text{phase space continuity equation}$$

$$\bar{J}_v = \bar{J}_v = \left\langle \frac{q}{m} E f \right\rangle$$

$$= \frac{q}{m} \langle \tilde{E} \tilde{f} \rangle$$

current

for: $E = \tilde{E}$

$$f = \langle f \rangle + \tilde{f}$$

elementary closure problem

i.e. relate $\langle f \rangle$ to $\langle \tilde{E} \tilde{f} \rangle \rightarrow$ hierarchy!

How close?

simplest example of moment closure.

then Q.L.T. simply takes form:

(f) $\tilde{f} \rightarrow \tilde{f}_{\text{linear}}$ (i.e. linear response of
 plug in linear response \tilde{f})

i.e. $\frac{\partial \tilde{f}}{\partial t} + v \frac{\partial \tilde{f}}{\partial x} + \frac{q}{m} E \frac{\partial \tilde{f}}{\partial v} = 0$ V/eqv
Egn.

$$\Rightarrow -i(\omega - kv) \tilde{f}_r = -\frac{q}{m} \tilde{E}_r \frac{\partial \langle f \rangle}{\partial v}$$

so $\tilde{J}_v = -\frac{q^2}{m^2} \sum_k |\tilde{E}_k|^2 \frac{1}{(\omega - kv)} \frac{\partial \langle f \rangle}{\partial v}$

and with $\omega = \omega(k)$ only (i.e. spectrum of
 eigenmodes, only)

i.e. contrast approach to
 criticality in usual
 phase transition (2nd
 order)

Q.L. equation is:

$$\frac{\partial \langle f \rangle}{\partial t} = \frac{\partial}{\partial v} D \frac{\partial \langle f \rangle}{\partial v}$$

$$D = \frac{q^2}{m^2} \sum_k |\tilde{E}_k|^2 \frac{1}{\omega - kv}$$

→ here growth of order
 parameter in broken symmetry
 phase ... not noise driven

Q.L. equation "

i.e. mindless mean field theory...

with $\epsilon(k, \omega) = 0$

$$\partial_t |\tilde{E}_k|^2 = 2\gamma_k |\tilde{E}_k|^2$$

→ advance fields.

But

Surprisingly: Q.L.T. works quite well!

Key issue: why?

N.B.: In contrast to critical phenomena, external noise ignored \rightarrow instability driven...

④ Some questions to keep in mind: deterministic

i) why is Q.L. equation a diffusion equation? When is this valid?

\Leftrightarrow nature of "irreversibility"...

ii) can Q.L. equation be derived from Fokker-Planck theory?

\Leftrightarrow also "irreversibility" related...

iii) how does Q.L. equation balance the energy-momentum budgets?

iv) when } does Q.L. theory fail?
how }

\Leftrightarrow related i) ... What is "Ginzburg Criterion" for Q.L.T. Can such a criterion be formulated?

v) what is dynamics of quasilinear relaxation?

i.e. physics?

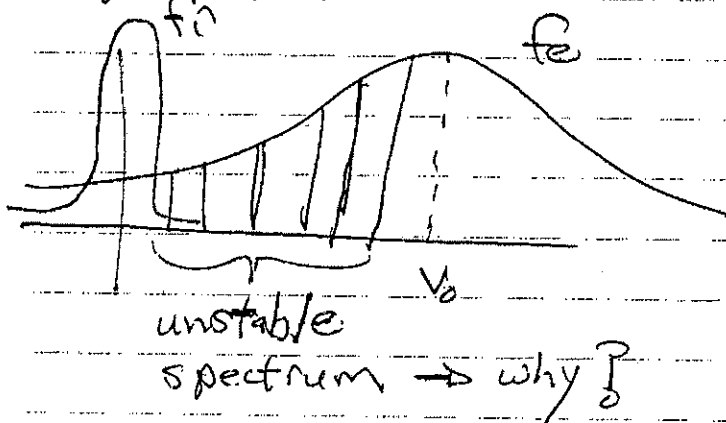
(c) Basic Scales / Regime Definition

① → Generally, Q, L, T , concerned with

i.) 'broad' spectrum of:

ii.) unstable waves

i.e. for current-driven ion-acoustic (G.O.I-A.) turbulence:



② → In finite system, k quantized, i.e.

$$k_m = m\pi/L, \text{ etc.}$$

- so, have spectrum of phase velocities

$$\omega_m/k_m = \omega(k_m)/k_m = v_{ph m}$$

- wave-particle resonance occurs when

$$V = v_{ph m}$$

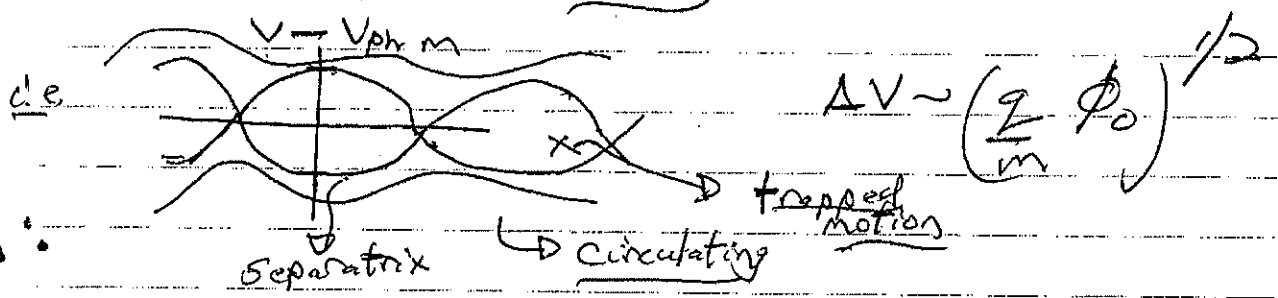
then $\sin \text{ Isaac} \Rightarrow$

$$m\ddot{x} = \sum_m q E_m \cos(k_m x - \omega_m t) \quad \left. \begin{array}{l} \text{n.b.} \\ \text{deterministic,} \\ \text{[no RPA]} \end{array} \right\}$$

and 1 resonance dominant \Rightarrow

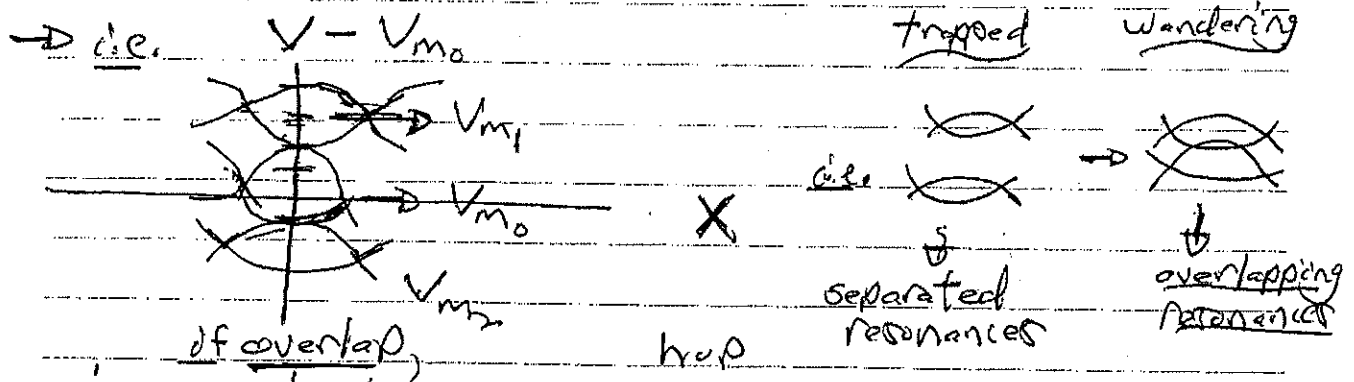
$$m\ddot{x} \approx q E_{m_0} \cos(k_{m_0} x + (k_{m_0} v - \omega_{m_0}) t)$$

\Rightarrow each resonant velocity defines a phase space island



QLT is concerned with the case of:

\rightarrow multiple, overlapping resonances \rightarrow $\left\{ \begin{array}{l} \text{separatrix} \\ \text{proximity} \Rightarrow \\ \text{destruction} \end{array} \right.$



particle can wander stochastically from resonance-to-resonance, i.e. hopping

\Rightarrow diffusion in $v!$ $D \sim \frac{(\Delta v)^2}{T_{ac}}$ $\Delta v \sim$ resonance width $T_{ac} \rightarrow$ pattern time \rightarrow what is it?

Overlap condition (B.V. Chirikov) :

$$\frac{1}{2} (\Delta V_m + \Delta V_{m+1}) \geq V_{ph, m+1} - V_{ph, m}$$

$\Delta V_m \sim \sqrt{\frac{2e\phi_0}{m}}$

→ particle motion stochastic

→ fundamental irreversibility \Rightarrow orbit stochasticity (not dissipation, Landau damping \Rightarrow contrast critical phenomena)

→ underpinning of diffusion equation

③ → But, a swindle! \downarrow \uparrow → use of un-perturbed orbit as estimate!

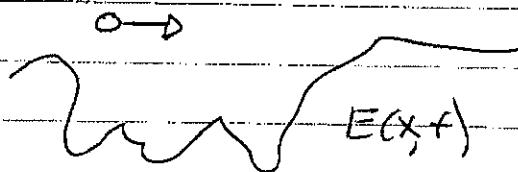
i.e. is $x \rightarrow x_0 + vt$ valid \uparrow

Consider: linear, un-perturbed orbit \uparrow !

have: $E(x, t) = \sum_k E_k \exp[i(kx - \omega_k t)]$

• particle "sees" instantaneous pattern of electric field, from modal superposition

i.e.



∴ relevant comparison is:

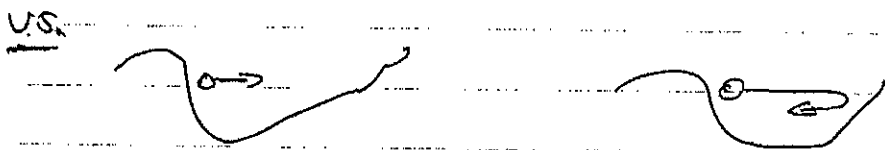
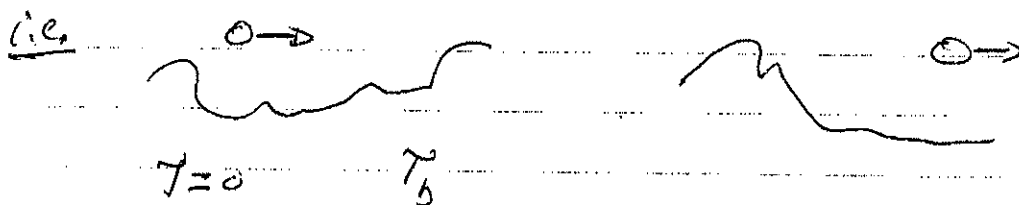
$T_L \rightarrow$ life time of 'instantaneous' pattern

$T_b \rightarrow$ 'bounce time' of particle in pattern

obviously, ① $T_L \ll T_b \rightarrow$ unperturbed orbit is satisfactory approximation $\sim QL$
 (pattern changes prior \leftrightarrow bouncing)

② $T_L \gg T_b \rightarrow$ particle bounces prior pattern changes

so must consider orbit perturbation, ... $\sim NL$



∴ quasilinear theory relevant to evolution when:

- ① \rightarrow orbits stochastic (Chirikov condition satisfied) \rightarrow
- ② $\rightarrow T_{Life} < T_{bounce} \rightarrow$ unperturbed orbits ① valid.

3)

But, how relate $T_{lifetime}$, T_{bounce} to physical quantities?

Key point: Superposition patterns disperse!

$$E(x,t) \Rightarrow \sum_k E_k e^{i(kx - \omega_k t)}$$

$$= \sum_k E_k \exp \left[i \left(k \left[x - \underbrace{\left(\frac{\omega_k}{k} \right)}_{v_{ph}(k)} t \right] \right) \right]$$

$\Delta(\omega_k/k) \equiv$ spread in phase velocities.
 Sets dispersal rate

so dispersal rate is (time)⁻¹ to disperse by one wavelength

$$\frac{1}{T_{life}} = k \Delta(\omega_k/k)$$

$$= k \left(\frac{d\omega_k}{dk} \frac{\Delta k}{k} - \frac{\omega_k}{k^2} \Delta k \right)$$

$$= \left(\frac{d\omega_k}{dk} - \frac{\omega_k}{k} \right) \Delta k = (v_g(k) - v_{ph}(k)) \Delta k$$

n.b. $T_{life} \rightarrow \infty$ for non-dispersive waves!

Generally; QLT / weak turbulence encounters trouble for $\left\{ \begin{array}{l} \text{non-dispersive} \\ \text{weakly dispersive} \end{array} \right.$ waves.